

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2014

| | (Column A) Managed Assets | (Column B) Non-Managed Assets | (Column C) Number of Managed Accounts | (Column D) Number of Non-Managed Accounts | |
|--|---------------------------------|-------------------------------------|--|--|------|
| | (000s) | (000s) | | | |
| FIDUCIARY AND RELATED ASSETS | | | | | |
| 4. Personal trust and agency accounts..... | 4,559,516 | 1,800,756 | 1,669 | 492 | 4. |
| 5. Employee benefit and retirement- related trust and agency accounts: | | | | | 5. |
| a. Employee benefit - defined contribution..... | 202,096 | 3,186,006 | 65 | 29 | 5.a. |
| b. Employee benefit - defined benefit..... | 91,982 | 307,682 | 7 | 6 | 5.b. |
| c. Other employee benefit and retirement-related accounts..... | 618,953 | 245,552 | 736 | 161 | 5.c. |
| 6. Corporate trust and agency accounts. | 378,317 | | 2 | | 6. |
| 7. Investment management and investment advisory agency accounts..... | 70,146,981 | 469,581 | 4,974 | 64 | 7. |
| 8. Foundation and endowment trust and agency accounts | 453,456 | 129,346 | 92 | 13 | 8. |
| 9. Other fiduciary accounts | | 140,360 | | 13 | 9. |
| 10. Total fiduciary accounts (sum of items 4 through 9) | 76,451,301 | 6,279,283 | 7,545 | 778 | 10. |
| 11. Custody and safekeeping accounts..... | | 7,605,658 | | 198 | 11. |
| 12. Not applicable | | | | | |
| 13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11) | 486,529 | 181,037 | 566 | 150 | 13. |

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as of December 31, 2014

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

| | (Column A) | (Column B) | (Column C) |
|--------|---|---|--|
| | Personal Trust and Agency and Investment Management Agency Accounts | Employee Benefit and Retirement-Related Trust and Agency Accounts | All Other Accounts |
| | (000s) | (000s) | (000s) |
| | | | |
| | 6,817 | 164 | 2,103 |
| M.1.a. | 85,355 | 5,069 | 4,748 |
| M.1.b. | | | |
| | 908,547 | 35,106 | 108,382 |
| M.1.c. | 3,691,209 | 26,127 | 14,902 |
| M.1.d. | 1,771,407 | 70,384 | 33,367 |
| M.1.e. | 5,546,058 | 339,639 | 128,722 |
| M.1.f. | 2,394,167 | 164,951 | 72,786 |
| M.1.g. | | | |
| | 10,066,258 | | |
| M.1.h. | 74,478 | | |
| M.1.i. | 9,825,889 | 655,647 | 466,299 |
| M.1.j. | | | |
| | 128,250 | 18,687 | 22,376 |
| M.1.k. | 35,094,708 | 284,362 | 450,123 |
| M.1.l. | 79,968 | | |
| M.1.m. | 579,581 | 1,472 | 12,081 |
| M.1.n. | 3,263,658 | 11,790 | 5,665 |
| M.1.o. | | | |
| | 73,516,350 | 1,613,398 | 1,321,554 |
| M.1.p. | | (Column A) Managed Assets | (Column B) Number of Managed Assets |
| | | 7,635,099 | 14,696 |
| M.1.q. | | | |

Schedule T - Fiduciary and Related Services
(Trust Companies)
as of December 31, 2014

INCOME

| FIDUCIARY AND RELATED SERVICES INCOME | (000s) | |
|--|----------|-------|
| 14. Personal trust and agency accounts..... | 32,160 | 14. |
| 15. Employee benefit and retirement-related trust and agency accounts: | | |
| a. Employee benefit-defined contribution | 1,178 | 15.a. |
| b. Employee benefit-defined benefit..... | 673 | 15.b. |
| c. Other employee benefit and retirement-related accounts..... | 4,147 | 15.c. |
| 16. Corporate trust and agency accounts | | 16. |
| 17. Investment management and investment advisory agency accounts | 363,800 | 17. |
| 18. Foundation and endowment trust and agency accounts..... | 2,828 | 18. |
| 19. Other fiduciary accounts | 1,932 | 19. |
| 20. Custody and safekeeping accounts | 1,671 | 20. |
| 21. Other fiduciary and related services income..... | 14,145 | 21. |
| 22. Total gross fiduciary and related services income (sum of items 14 through 21) | 422,534 | 22. |
| 23. Less: Expenses..... | 462,494 | 23. |
| 24. Less: Net losses from fiduciary and related services..... | 191 | 24. |
| 25. Plus: Intracompany income credits for fiduciary and related services | 17,831 | 25. |
| 26. Net fiduciary and related services income | (22,320) | 26. |

Memoranda

| Memoranda | (Column A) Gross Losses Managed Accounts (000s) | (Column B) Gross Losses Non-Managed Accounts (000s) | (Column C) Recoveries (000s) | |
|---|---|---|--|--------|
| 4. Fiduciary settlements, surcharges, and other losses | | | | |
| a. Personal trust and agency accounts..... | 107 | | | M.4.a. |
| b. Employee benefit and retirement-related trust and agency... accounts | | | | M.4.b. |
| c. Investment management and investment advisory..... agency accounts..... | | 83 | | M.4.c. |
| d. Other fiduciary accounts and related services..... | | 1 | | M.4.d. |
| e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21)..... | 107 | 84 | | M.4.e. |