

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2012

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,545,400	980,219	1,524	338	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	490,964	2,554,050	39	27	5.a.
b. Employee benefit - defined benefit.....	140,836	311,761	10	7	5.b.
c. Other employee benefit and retirement-related accounts.....	527,232	162,409	610	181	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	68,745,012	317,735	4,399	52	7.
8. Foundation and endowment trust and agency accounts	360,347	183,727	103	28	8.
9. Other fiduciary accounts	765,325	115,623	14	11	9.
10. Total fiduciary accounts (sum of items 4 through 9)	75,575,116	4,625,524	6,699	644	10.
11. Custody and safekeeping accounts.....		7,455,288		235	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	443,708	165,331	262	167	13.

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as of December 31, 2012

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
	7,453	1,932	320	M.1.a.
	82,097	7,322	3,354	M.1.b.
	988,449	42,903	52,175	M.1.c.
	4,076,248	27,658	18,531	M.1.d.
	1,859,670	54,255	87,113	M.1.e.
	4,446,329	266,696	79,895	M.1.f.
	2,182,463	151,222	63,404	M.1.g.
	11,115,614			M.1.h.
	56,253			M.1.i.
	11,477,396	306,664	955,316	M.1.j.
	28,482	35,613	19,467	M.1.k.
	33,415,877	248,848	353,727	M.1.l.
	67,523	54	53	M.1.m.
	484,124	4,378	2,494	M.1.n.
	2,472,364	11,488	19,891	M.1.o.
	72,760,342	1,159,033	1,655,740	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		7,484,002	10,487	M.1.q.

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	6	357,177	M.3.a.
b. International/Global equity.....	23	7,255,663	M.3.b.
c. Stock/Bond blend.....	5	1,347,290	M.3.c.
d. Taxable bond.....	17	3,416,989	M.3.d.
e. Municipal bond			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	51	12,377,119	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	29,448	87,502,089	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	29,448	87,502,089	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	900	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,138	1,433	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	388	533	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	23,784	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,335	15.a.
b. Employee benefit-defined benefit.....	732	15.b.
c. Other employee benefit and retirement-related accounts.....	2,985	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	269,559	17.
18. Foundation and endowment trust and agency accounts.....	2,292	18.
19. Other fiduciary accounts	5,451	19.
20. Custody and safekeeping accounts	1,685	20.
21. Other fiduciary and related services income.....	16,889	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	324,712	22.
23. Less: Expenses.....	422,361	23.
24. Less: Net losses from fiduciary and related services.....	244	24.
25. Plus: Intracompany income credits for fiduciary and related services	31,451	25.
26. Net fiduciary and related services income	(66,442)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	90	53		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		101		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	90	154		M.4.e.