

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2013

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,030,373	177,802	3,878	101	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	107,578	435,649	98	168	5.a.
b. Employee benefit - defined benefit.....	131,169	71,935	20	5	5.b.
c. Other employee benefit and retirement-related accounts.....	409,824	182,662	1,003	257	5.c.
6. Corporate trust and agency accounts.		1		1	6.
7. Investment management and investment advisory agency accounts.....	2,939,902	18,879	1,839	15	7.
8. Foundation and endowment trust and agency accounts	240,717	102,669	153	28	8.
9. Other fiduciary accounts	40,926	158	17	4	9.
10. Total fiduciary accounts (sum of items 4 through 9)	9,900,489	989,755	7,008	579	10.
11. Custody and safekeeping accounts.....		5,191,755		1,279	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	397,419	342,996	998	216	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	8,607	147	16	M.1.a.
b. Interest-bearing deposits.....	129,948	8,489	3,334	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	458,971	23,710	17,017	M.1.c.
d. State, county and municipal obligations.....	1,367,284	6,506	3,053	M.1.d.
e. Money market mutual funds.....	522,490	30,497	29,238	M.1.e.
f. Equity mutual funds.....	853,058	178,428	55,268	M.1.f.
g. Other mutual funds.....	905,375	157,654	45,750	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	36,871	500		
j. Other notes and bonds.....	521,311	52,438	29,501	M.1.g.
k. Investments in unregistered funds and private equity investments.....	113,558	3	51	
l. Other common and preferred stocks.....	2,331,176	183,451	60,060	M.1.h.
m. Real estate mortgages.....	21,204	413		M.1.i.
n. Real estate.....	862,978	3,560	3,351	M.1.j.
o. Miscellaneous assets.....	837,594	3,193	35,001	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	8,970,425	648,989	281,640	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	337,253	14,646,433	P.1.
P.2. Less: real estate.....	39,039		P.2.
P.3. Trust business on which security is required.....	298,214	14,646,433	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,100	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,894	5,405	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,794	3,805	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....		8,913
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution		628
b. Employee benefit-defined benefit.....		142
c. Other employee benefit and retirement-related accounts.....		862
16. Corporate trust and agency accounts		
17. Investment management and investment advisory agency accounts		3,845
18. Foundation and endowment trust and agency accounts.....		359
19. Other fiduciary accounts		108
20. Custody and safekeeping accounts		1,099
21. Other fiduciary and related services income.....		205
22. Total gross fiduciary and related services income (sum of items 14 through 21)		16,161
23. Less: Expenses.....		15,985
24. Less: Net losses from fiduciary and related services.....		51
25. Plus: Intracompany income credits for fiduciary and related services		2,605
26. Net fiduciary and related services income		2,730

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	59		18
b. Employee benefit and retirement-related trust and agency accounts	1		
c. Investment management and investment advisory..... agency accounts.....	6	2	
d. Other fiduciary accounts and related services.....		2	
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			