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STATE OF CALIFORNIA
BUSINESS, TRANSPORTATION AND HOUSING AGENCY
DEPARTMENT OF CORPORATIONS

TO: **TONY M. NAVA, JR.**
2360 S. Garfield Ave.
Monterey Park, CA 91754

DESIST AND REFRAIN ORDER

(For violations of section 25110 of the Corporations Code)

The California Corporations Commissioner finds that:

1. At all relevant times, Tony M. Nava, Jr. (“Nava”) conducted business at 2360 S. Garfield Ave., Monterey Park, California 91754. Nava was licensed by the Department of Real Estate as a salesperson. Nava was purportedly in the business of loaning money to businesses in need of funding.
2. Beginning in at least 2002, Nava offered and sold securities in the form of investment contracts or evidences of indebtedness titled “Investing Agreements.”
3. Nava offered or sold at least \$300,000 of these Investing Agreements with maturity dates varying from 10 to 45 days and a rate of return ranging from 10% to 55%.
4. The purported purpose of the offering was to raise funds to enable Nava to loan money to businesses in need of funding.
5. These investment contracts or evidences of indebtedness were offered or sold in this state in issuer transactions. The Department of Corporations has not issued a permit or other form of qualification authorizing any person to offer or sell these investment contracts or evidences of indebtedness in this state.

Based upon the foregoing findings, the California Corporations Commissioner is of the opinion that the investment contracts or evidences of indebtedness titled “Investing Agreements” are securities subject to qualification under the California Corporate Securities Law of 1968 and are

1 being or have been offered or sold without first being qualified. Pursuant to Section 25532 of the
2 Corporate Securities Law of 1968, Tony M Nava, Jr. is hereby ordered to desist and refrain from the
3 further offer or sale in the State of California of securities, including but not limited to investment
4 contracts or evidences of indebtedness, unless and until qualification has been made under the law or
5 unless exempt.

6 This Order is necessary, in the public interest, for the protection of investors and consistent
7 with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

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9 Dated: March 1, 2006
10 Los Angeles, California

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WAYNE STRUMPFER
Acting California Corporations Commissioner

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By _____
ALAN S. WEINGER
Acting Deputy Commissioner
Enforcement Division

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