

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
Oct-14**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Foundation Escrow Company San Diego, California	Final Order to Discontinue Violations October 3, 2014	Unlawful Escrow Law Activity (Financial Code Section 17602)
Michael Andre Jones Green Bash, LLC Marysville, Washington	Desist and Refrain Order October 3, 2014	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)
Ocwen Loan Servicing, LLC West Palm Beach, Florida	Accusation in Support of Notice to Intent to Issue an Order Suspending Residential Mortgage Lender and Loan Servicer License Order of Forfeiture October 3, 2014	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50326)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
The Social Equity Group, Inc. San Francisco, California	Consent Order to Discontinue Violations and Levying Administrative Penalties October 3, 2014	Unlawful Investment Adviser Activity (Corporations Code Sections 25249 and 25252)
California Western Financial Investments, Inc. George Gordon Getz Caroline Joan Getz Los Alamitos, California	Desist and Refrain Order October 7, 2014	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)
Blue Diamond Escrow, Inc. Riverside, California	Accusation October 8, 2014	Unlawful Escrow Law Activity (Financial Code Sections 17202, 17207, 17213.1, 17348 and 17406)
Executive Escrow Company San Clemente, California	Amended Order to Discontinue Escrow Activities October 10, 2014	Unlawful Escrow Law Activity (Financial Code Section 17415)

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Rebecca Pugeda San Diego, California	Order Barring Rebecca Pugeda from any Position of Employment, Management or Control of any Escrow Agent October 10, 2014	Unlawful Escrow Law Activity (Financial Code Section 17423)
Auk Capital, LLC Brian O'Neil Rolling Hills Estates, California	1. Desist and Refrain Order; 2. Order Levying Administrative Penalties Settlement Agreement Between Auk Capital, LLC, Brian O'Neil and The California Department of Business Oversight Resolving: 1. Desist and Refrain Order; and 2. Order Levying Administrative Penalties October 10, 2014	Unlawful Investment Adviser Activity (Corporations Code Sections 25230(a), 25252 (a) and (b))

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Noe F. Gonzalez City of Industry, California	Accusation October 14, 2014	Unlawful Escrow Law Activity (Financial Code Sections 17414(a)(1), 17420 and title 10 § 1738 and 1738.2)
Sirva Mortgage, Inc. Independence, Ohio	Order to Discontinue Violations October 14, 2014	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50321)
Ryan Schmidt aka Ryan Schmidt-Weymans Noble Trading Group International, Inc. Orange, California	Desist and Refrain Order October 14, 2014	Unlawful Commodity Law Activity (Corporations Code Sections 29520, 29535 and 29536)

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<p>Mel Rosoff Petrol Consultants Holdings, LLC Camarillo, California</p>	<p>Desist and Refrain Order October 14, 2014</p>	<p>Unlawful Offer and Sale of Securities (Corporations Code Section 25401)</p>
<p>First California Mortgage Company Petaluma, California</p>	<p>Statement of Facts in Support of Order to Discontinue Violations Accusation in Support of Notice of Intent to Issue Order Suspending Residential Mortgage Lending License and Imposing Penalties October 15, 2014</p>	<p>Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50204, 50321 and title 10 § 1950.314.6)</p>
<p>Affordable Escrow, Inc. Granada Hills, California</p>	<p>Desist and Refrain Order Stipulation to Final Desist and Refrain Order October 16, 2014</p>	<p>Unlawful Escrow Law Activity (Financial Code Sections 17400, 17404 and 17414)</p>

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Pelagius Entertainment, Inc. Joseph H. Fries Natalie Khoury Calabasas, California	Stipulation to Entry of Desist and Refrain Order October 16, 2014 Desist and Refrain Order October 17, 2014	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Velocity Escrow, Inc. Mutasem Al Saad Hali Saad Irvine, California	Accusation October 21, 2014	Unlawful Escrow Law Activity (Financial Code Sections 17404, 17411, 17414(a)(1), 17414(a)(2), 17420, 17703(b) and title 10 § 1738, 1738.1, 1738.2 and 1740.4(a))
DeAndre Dewayne Hughes San Bernardino, California	Statement of Issues October 22, 2014	Unlawful Finance Lender Law Activity (Financial Code Section 22109.1)

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<p>Timothy Hurlbut Newport Beach, California</p>	<p>Accusation October 24, 2014</p>	<p>Unlawful Residential Mortgage Law Activity and Finance Lender Law Activity Financial Code Sections 22714 and 50327)</p>
<p>Asset Strategies and Management, Inc. Michael G. Holt Campbell, California</p>	<p>Consent Order (1) Barring Michael Holt; and (2) Summarily Revoking the Investment Adviser Certificate of Asset Strategies and Management, Inc. October 28, 2014</p>	<p>Unlawful Investment Adviser Activity (Corporations Code Sections 25232.1 and 25242(b))</p>
<p>William Norwood dba The Edge Financial Group, LLC aka Edge Financial Group aka The Edge Sacramento, California</p>	<p>Desist and Refrain Order October 29, 2014</p>	<p>Unlawful Finance Lender Law Activity (Financial Code Sections 22100 and 22161)</p>

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
The Money Company Madera, California	Order Conditionally Granting Petition for Reinstatement October 31, 2014	Unlawful Finance Lender Law Activity (Government Code Section 11522)
Fresh and Natural Inc. dba Fresh and Natural Food Service Group Marie Le Milpitas, California	Desist and Refrain Order October 31, 2014	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)