

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)  
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT  
Oct-13**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Allan D. Snyder  Aliso Viejo, California	Order Denying Petition for Reinstatement  October 2, 2013	Unlawful Escrow Law Activity  (Government Code Section 11522)
Diversity Escrow, Inc. David Z. Jimenez Diana Lopez Christina Espitia  Granada Hills, California	Accusation  October 4, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17209, 17210, 17212.1, 17400, 17404, 17414, § 1726, 1732, 1732.2, 1738, 1738.1 and 1738.2)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
UBS Financial Services, Inc.  Palo Alto, California	Consent Order  October 4, 2013	Unlawful Broker-Dealer Activity  (Corporations Code Sections 25210(b), 25217, and 25241)
Topline Escrow Service, Inc.  San Fernando, California	Accusation  October 4, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17406(a), 17406(c) and 17600(c))
William E. Hamm and Associates, Inc. dba Independent Financial Partners  Tampa, Florida	Settlement Agreement  October 7, 2013	Unlawful Investment Adviser Activity  (Corporations Code Section 25230)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Robyn Lee James M. Clark Western America Equities LLC  San Mateo, California Bellevue, Washington	Desist and Refrain Order  October 7, 2013	Unlawful Offer and Sale of Securities  (Corporations Code Section 25110)
Kenneth Crowder Chris Crowder David Wanzek Michael Bersch Concordia Financing Co., Ltd.  Rancho Cucamonga, California	Desist and Refrain Order  October 7, 2013	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)
Leonard Leandrew Hollins Anthony Millan Mack Machen aka Dr. Mack Machen Global Investment Trust aka Global Investment Trust, LLC  Beverly Hills, California	Desist and Refrain Order  October 7, 2013	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)

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Mambo Cash aka Mambocash.com	Desist and Refrain Order  October 7, 2013	Unlawful Deferred Deposit Transaction Law Activity  (Financial Code Section 23050)
Efficiency Escrow, Inc. Anela Miyashiro-Lindo  Carlsbad, California	Accusation  Order Setting Aside Prohibition from Engaging in Escrow Processing Activities  October 9, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17348 and 17423(c))
B&J Service Center & Check Cashing, Inc.  San Jose, California	Amended Desist and Refrain Order; and Citation  October 14, 2013	Unlawful Deferred Deposit Transaction Law Activity  (Financial Code Sections 23050 and 23058)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Artience Capital Management, LLC  San Francisco, California	Desist and Refrain Order  Settlement Agreement  October 14, 2013	Unlawful Investment Adviser Activity  (Financial Code Section 25230)
Robert Mark Seibert Southwest Biofuels, LLC  Mesa, Arizona	Desist and Refrain Order  October 16, 2013	Unlawful Offer and Sale of Securities  (Corporations Code Section 25401)
Efficient Services Escrow Group Robert C. Crenshaw Daniel J. Crenshaw  Huntington Beach, California	Order Revoking Escrow Agent's License  Order Barring Robert C. Crenshaw from any Position of Employment, Management or Control of any Escrow Agent  October 17, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17423 and 17608)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Wee Prefer Escrow, Inc. Steve Yang Tammi Nguyen  Orange, California	Accusation  October 21, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17209.3, 17415, 17423 and 17608)
International Lending Network, Inc. Raymond Dellovo, Jr.  Medford, Massachusetts	Desist and Refrain Order  October 22, 2013	Unlawful Finance Lender Law Activity  (Financial Code Section 22712)
Rama Capital Partners, LLC  Calabasas, California	Desist and Refrain Order; and Order Levying Administrative Penalties  Settlement Agreement Between Rama Capital Partners, LLC and The California Department of Business Oversight Resolving: 1. Desist and Refrain Order; and 2. Order Levying Administrative Penalties  October 22, 2013	

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Aventis Healthcare International, Inc. dba StartupHomeCare Geoffrey Mark Dunning  Irvine, California	Stipulation to Desist and Refrain Order  October 22, 2013	Unlawful Franchise Investment Law Activity  (Corporations Code Section 31402)
Trang Dang  Tustin, California	Stipulation  October 23, 2013	Unlawful Escrow Law Activity  (Financial Code Section 17423)
Prestamos y Inversiones, Inc. aka Oficina de Prestamos Lending World, Inc. Lending Experts, Inc. LS Lending, Inc.  El Monte, California National City, California Los Angeles, California North Hollywood, California Pomona, California	Desist and Refrain Order  October 24, 2013	Unlawful Finance Lender Law Activity  (Financial Code Section 22100)

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Sandra Hockenberry aka Sandy Hockenberry Tom Wozny Red Horizon Films, Inc.  Costa Mesa, California	Amended Desist and Refrain Order  October 25, 2013	Unlawful Offer and Sale of Securities  (Corporations Code Section 25110)
David Flores Valencia  San Jose, California	Amended Desist and Refrain Order  October 29, 2013	Unlawful Offer and Sale of Securities and Broker-Dealer Activities  (Corporations Code Sections 25110 and 25210)
Gregory Kenneth DeLong, Sr. aka Gegory K. DeLong  Anaheim, California	Accusation to Issue Order Barring from any Position of Employment, Management or Control of any Escrow Agent  October 30, 2013	Unlawful Escrow Law Activity  (Financial Code Sections 17414.1(b), 17423 and 17702)

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WAYUP Wealth Building Group Ed Wei  Newport Beach, California	Desist and Refrain Order; Calim for Ancillary Relief; and Order Levying Administrative Penalties  October 30, 2013	Unlawful Investment Adviser Activity  (Corporations Code Sections 25532, 25254 and 25252)
RBC Capital Markets, LLC  San Francisco, California	Administrative Consent Order  October 31, 2013	Unlawful Broker-Dealer Activity  (Corporations Code Sections 25217(a), title 10 § 260.218.4, 25210(b) and 25252(d))