

Check Before You Invest

Before investing, have the person offering products/services complete this form, sign it, and return it to you. Verify both the person and company are licensed and authorized to sell/offer the specific type of product, by contacting the appropriate licensing agency (see reverse side for agency contact information). Keep the completed form for your records.

Seller/Agent and Company Information (please print clearly)

Seller/Agent Name _____

Company/Business Name _____

Company/Business Address _____

Phone Number(s) _____ E-mail _____

I am offering the following product(s) and/or services (check all that apply)

Investment: IRAs Mutual Funds Oil & Gas/Minerals
 Private Placements Securities Other _____

Financial Planning: Annuities Financial Planning Investment Advice

Insurance: Life Viaticals Wealth Creation
 Other _____

Real Estate: Equity Loan Escrow/Title Mortgage
 Refinance Mortgage Modification
 Reverse Mortgage Investment (specify) _____

Legal Services: Estate Planning Living Trust Wills
 Other _____

Accounting: Tax Preparation Other _____

Contractor Services: Home Repair Remodeling Other _____

I am currently licensed by

CA Department of Business Oversight License #: _____
 CA Department of Insurance License #: _____
 CA Bureau of Real Estate License #: _____
 CA State Bar Association State Bar #: _____
 CA Board of Accountancy CPA License #: _____
 CA Contractors State License Board License #: _____
 Other License #: _____

I certify that I am making these representations truthfully in order to do business with you.

Signature: _____ Date: _____